

## COMPLAINT & DISCIPLINARY DATA

FY 07/08 through JUNE 30, 2008

| Fiscal Year   | 00/01 | 01/02 | 02/03 | 03/04 | 04/05 | 05/06 | 06/07 | 07/08 |
|---|-------|-------|-------|-------|-------|-------|-------|-------|
| Numbers of Licensees*:  | 1755  | 1808  | 1834  | 1868  | 2016  | 2004  | 2000  | 2014  |
| Complaints Received**:  | 229   | 226   | 200   | 178   | 147   | 109   | 116   | 104   |
| Open Cases: 68  |       |       |       |       |       |       |       |       |
| Discipline Cases Pending at Attorney General: <b>14</b>                                     |       |       |       |       |       |       |       |       |
| <hr/>   |       |       |       |       |       |       |       |       |
| Licensees on Probation: 33  |       |       |       |       |       |       |       |       |
| Citations and Fines   | 5     | 5     | 5     | 1     | 1     | 4     | 1     | 0     |
| Cease/desist Letters***   | 24    | 16    | 2     | 7     | 10    | 5     | 6     | 4     |
| <hr/>   |       |       |       |       |       |       |       |       |
| Referred to Attorney General  | 15    | 23    | 14    | 14    | 12    | 12    | 13    | 9     |
| Referred to District Attorney   | 2     | 6     | 0     | 2     | 0     | 0     | 1     | 0     |
| Accusations/Petitions to<br>Revoke Probation/SOI  | 10    | 12    | 9     | 11    | 9     | 7     | 12    | 8     |
| Penalty Relief Petitions Filed  | 4     | 4     | 1     | 2     | 1     | 0     | 1     | 1     |
| Hearings****  | 6     | 7     | 6     | 6     | 4     | 2     | 2     | 5     |
| <hr/>   |       |       |       |       |       |       |       |       |
| Prop. Dec. Non-adopted  | 1     | 1     | 0     | 1     | 0     | 1     | 0     | 0     |
| Prop. Dec. Adopted  | 3     | 6     | 6     | 6     | 2     | 1     | 2     | 1     |
| Stipulations Adopted  | 7     | 5     | 9     | 5     | 6     | 6     | 9     | 5     |
| <hr/>   |       |       |       |       |       |       |       |       |
| Probations  | 5     | 1     | 12    | 6     | 4     | 4     | 9     | 5     |
| Suspensions   | 0     | 1     | 1     | 2     | 1     | 0     | 1     | 2     |
| Revocations   | 1     | 1     | 1     | 3     | 1     | 2     | 0     | 1     |
| Surrenders During Prosecution   | 2     | 1     | 2     | 2     | 1     | 2     | 0     | 0     |
| Public Letter of Reprimand  | 0     | 2     | 0     | 0     | 0     | 0     | 0     | 0     |
| Other   | 2     | 2     | 0     | 0     | 0     | 0     | 0     | 0     |
| <hr/>   |       |       |       |       |       |       |       |       |
| Criminal arrests/<br>convictions  | 2/1   | 1/1   | 0/0   | 2/1   | 0/0   | 0/0   | 1/0   | 0/0   |
| Temporary Restraining Orders/<br>Interim Suspensions/<br>Automatic Suspensions/PC-23 Orders | 0     | 3     | 1     | 2     | 1     | 0     | 2     | 1     |

\* includes all licensees with a status code 10 (E)

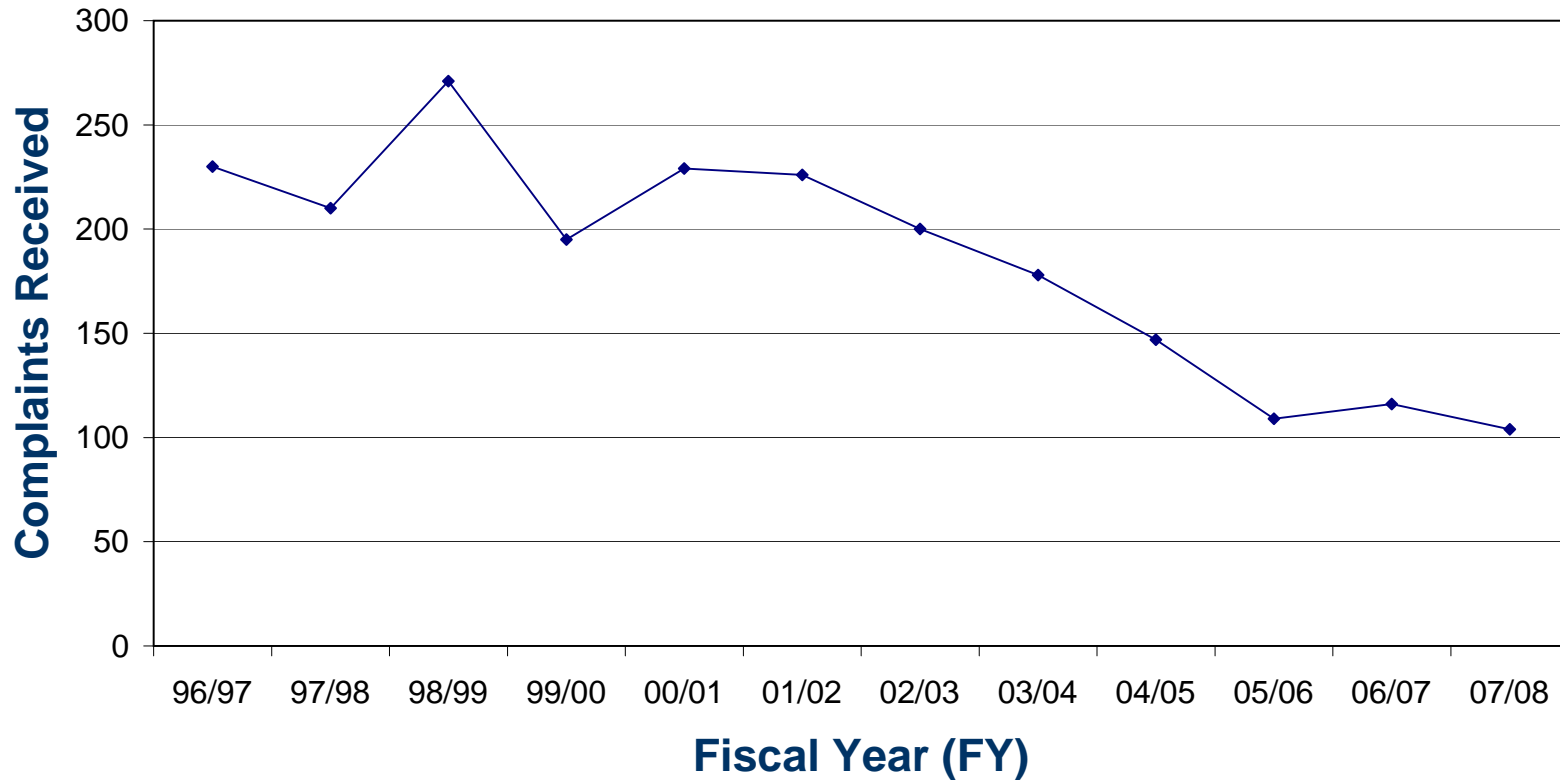
\*\* includes multiple complaints against individual licensees

\*\*\* includes letters following educational interviews

\*\*\*\* includes reinstatements, penalty relief petitions, and any other cases heard by an Administrative Law Judge (ALJ)

## Complaints Received Since Implementation of BPM's Continuing Competence Program (January 1, 1999)

First 2-year renewal period



| Fiscal Year         | 96/97 | 97/98 | 98/99 | 99/00 | 00/01 | 01/02 | 02/03 | 03/04 | 04/05 | 05/06 | 06/07 | 07/08 |
|---------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| Complaints Received | 230   | 210   | 271   | 195   | 229   | 226   | 200   | 178   | 147   | 109   | 116   | 104   |